

**LAHIVE
&
COCKFIELD**
LLP

COUNSELLORS AT LAW
28 STATE STREET
BOSTON, MASSACHUSETTS 02109-1784
TELEPHONE (617) 227-7400
FAX (617) 742-4214
lc@lahive.com

JOHN A. LAHIVE, JR. (1928-1997)
THOMAS V. SMURZYNSKI
RALPH A. LOREN
GIULIO A. DeCONTI, JR.
ANN LAMPORT HAMMITTE
ELIZABETH A. MANLEY
AMY BAKER MANDRAGOURAS
ANTHONY A. LAURENTANO
KEVIN J. CANNING
JANE E. REMILLARD
DEANN FORAN SMITH
PETER C. LAURO
JEANNE M. D'GIORGIO
DEBRA J. MILASINSKI, Ph.D.
DAVID J. RIKKERS
DAVID R. BURNS
JOHN S. CURRAN
SEAN D. DETWEILER
CYNTHIA L. KANIK, Ph.D.

MEGAN E. WILLIAMS, Ph.D.
RICHA NAND
MICHAEL PHILLIPPS *
LISA M. DIROCCO
HATHAWAY P. RUSSELL

SENIOR COUNSEL
JAMES E. COCKFIELD

OF COUNSEL
JEREMIAH LYNCH
WILLIAM A. SCOFIELD, JR.

PATENT AGENTS
THEODORE R. WEST
SHAYNE Y. HUFF, Ph.D.
DANIEL B. KO

TECHNICAL SPECIALISTS
MARIA LACCOTRIPE ZACHARAKIS, Ph.D. **
CYNTHIA M. BOROOS
PETER W. DINI, Ph.D.
EUNHOON LEE
JENNIFER K. ROSENFELD
ALLAN JAMESHTIT, Ph.D.
CATHERINE E. McPHERSON
ERIC F. WAGNER, Ph.D.
SHAMID HASAN, Ph.D.
JACOB G. WEINTRAUB
JONATHAN M. SPARKS, Ph.D.
CRISTIN E. HOWLEY, Ph.D.

* Admitted in NY only
** Passed the Patent Bar Examination

Facsimile Cover Sheet

To: Examiner Philip Gambel,
Ph.D.

Company: US PTO
Location: Group Art Unit
Phone: 703-308-3997
Fax: 703-746-5293

From: Megan Williams
Phone: (617) 227-7400
Fax: (617) 742-4214
Case No.: 09/425,516 (RPI-004C3CN)

Date: 10/23/01

Sent By: H. Malarney

**Pages including this
cover page:** 9

Message: Please Deliver to Examiner Gambel.

Attached please find the requested duplicate copy of the IDS filed in the above-referenced application and a copy of the post card receipt. Please let us know if we can provide any further information.

Sincerely,

Megan E. Williams

The documents transmitted by this facsimile are intended for the use of the individual or the entity to which it is addressed and may contain information that is privileged, confidential and exempt from disclosure under applicable law. If the reader of this message is not the intended recipient, or the employee or agent responsible for delivering this document to the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error, please notify us immediately by telephone and return the original facsimile to us at the above address via the U.S. Postal Service. Thank you.



Office No. RPI-004333N

THE "RECEIVED" STAMP OF THE PATENT AND TRADEMARK OFFICE
IMPRINTED HEREON ACKNOWLEDGES THE FILING OF:

Description of Paper² : Transmittal Letter (in duplicate), Information Disclosure Statement, PTO Form 1449, and full copies of certain publications (20) cited therein are enclosed along with this postcard.

Title: *Novel CTLA 4/CD28 Ligands And Uses Therefor*

Name of Applicants: Freeman, Gordon J. et al.

Serial No.: 09/425,516

Atty: AEM/MEW/JKR

Date: August 6, 2001

*with Certificate of First Class Mailing

LAHIVE & COCKFIELD LLP

COUNSELLORS AT LAW
28 STATE STREET
BOSTON, MASSACHUSETTS 02109-1784
TELEPHONE (617) 227-7400
FAX (617) 742-4214
lc@lahive.com

JOHN A. LAHIVE JR. (1928-1997)
THOMAS V. SMURZYNSKI
RALPH A. LOREN
GIULIO A. DECONTI, JR.
ANN LAMPORT HAMMILL
ELIZABETH A. HANLEY
AMY BAKER MANURAGOURAS
ANTHONY A. LAURENTANO
KEVIN J. CANNING
JANE E. REMILLARD
DANN FORAN SMITH
PETER C. LAURO
JEANNE M. DIGIORGIO
LEBNA J. MILASINIC, Ph.D.
NICHOLAS P. TRIANO III
DAVID J. RUKERS
DAVID H. BURNS
JOHN S. CURRAN

SEAN D. DETWEILER
CYNTHIA L. KANIA, Ph.D.
MEGAN E. WILLIAMS, Ph.D.
RICHARD
MICHAEL PHILLIPPS
LISA M. DROCCO

SENIOR COUNSEL
JAMES E. COCKFIELD

OF COUNSEL
JEREMIAN LYNCH
WILLIAM A. SCOFIELD, JR.

PATENT AGENTS
PETEK S. STECHER
THEODORE WEST
SHATNEY T. HUFF, Ph.D.

TECHNICAL SPECIALISTS
MARIA LACOTRPEZACORRADO, Ph.D.
CYNTHIA M. SOROOS
PETER W. DINI, Ph.D.
EUNDOON LEE
JENNIFER K. ROSENFELD
JUDITH STONE-HULSWANDER, Ph.D.
ALLAN TAMESHTIT, Ph.D.
CATHERINE E. McPHERSON
ERIC F. WAGNER, Ph.D.
SHAMID HASAN, Ph.D.
ASHITA DOSHI, Ph.D.
JACOB G. WEINTRAUB

* Admitted in NY only.
** Passed the Patent Bar Examination.

August 6, 2001

Commissioner for Patents
Washington, D.C. 20231

Re: U.S. Patent Application Serial No.: 09/425,516
Title: *Novel CTLA4/CD28 Ligands And Uses Therefor*
Inventor: Freeman, Gordon J. et al.
Filed: October 22, 1999
Our Ref. No.: RPI-004C3CN

COPY

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

1. Information Disclosure Statement;
2. PTO Form 1449;
3. Full copies of certain references (20) cited in PTO Form 1449; and
4. A Return Postcard.

No additional costs are believed to be due in connection with the filing of this Information Disclosure Statement. However, please charge any necessary fees in connection with the enclosed statement to our Deposit Order Account No. 12-0080. For this purpose, a duplicate of this sheet is attached.

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231 on

August 6, 2001
Date

Meg E Williams, Esq., Reg. No. 43,270

Respectfully submitted,
LAHIVE & COCKFIELD, LLP

Meg E Williams, Esq.
Registration No. 43,270
Attorney for Applicants